

AAP PROJECT-TO-PGRAMMEANNUALREPORT

Country: Ethiopia **Date:** Jan – Dec 2011

Expected Results	Overall rating (%) of achievement against AWP <i>[Average of the Q1/2/3 + progress in Q4]</i>	Achievements, and justification of the rating	
Outcome 1. Countries have introduced dynamic, long-term planning mechanisms to manage the inherent uncertainties of climate change			
1.1 Technical studies and databases have been completed	80]	a) Has your project produced technical studies on climate risks?	✓ [YES <input type="checkbox"/> NO <input type="checkbox"/>]
		b) If yes, name them and specify their content.	<ul style="list-style-type: none"> ❖ [Baseline studies and climate change adaption programs prepared which include the sectors climate change vulnerability, adaptation capacity, adaptation options and their costs for 8 key sectors and 11 regional states. ❖ Climate Resilient Technologies and Practices appropriate for schools and a portfolio of suitable approaches in Ethiopia prepared ❖ Documentation on best practices on climate change and environmental education in schools prepared ❖ Master data base is under

			<p>development. The master data base contains information about governmental organizations; Local and International nongovernmental organizations private sector and Development partners. The collected information about each of the agency include among others: Sectoral Intervention, projects and programs, objective, geographical scope, Implementing Partner and contact person address. The Climate Action Intelligence activities will commence soon.</p> <p>❖ The study is titled, “Assessment of Drought and Flood Prone Areas of Ethiopia”. The content of the document refers drought in terms of Metrological Drought, Agricultural Drought, Hydrological and Socio-economic Drought; shows Areas of Annual Probability of Drought are mapped; vulnerability to flood, areas of flood hazard frequency are identified and mapped. In addition, likely future condition of flood and drought are also predicted.</p> <p style="text-align: right;">]</p>
		c) Are these studies being officially used in the country?	[YES <input checked="" type="checkbox"/> NO <input type="checkbox"/>]
		d) If yes, please detail.	❖ [Climate Change Adaptation Plans prepared by the sectors and regional states are officially

			<p>endorsed by the respective entities and they are being used officially. The Environmental Education and Protection Manual are being used for the provision of training for teachers and students. Mainstreaming guidelines are also being used to raise awareness of various stake holders including high level decision makers-Parliamentarians and head of sectoral agencies.]</p>
		e) Explain how the above mentioned technical studies have been used to influence development decisions?	<p>❖ [The guidelines and the climate change adaptation plans were instrumental for raising awareness and mainstreaming of climate change in the sectors and regions development plans and programs Sectoral Analysis have been a basis for sectoral and regional adaption plans..Evidence generated by the study has been important for advocacy too.]</p>
1.2 National planning mechanisms are established	[95]	a) Have adaptation plans/strategies been completed under AAP?	✓ [YES <input type="checkbox"/> NO <input type="checkbox"/>]
		b) Have these plans/strategies been approved/adopted?	✓ [YES <input type="checkbox"/> NO <input type="checkbox"/>]
		c) If yes, please detail.	<p>❖ [The Climate change adaption plans of 8 sectors, approved by the respective agencies. The Sectors are: Health, Wildlife, Water and Energy, Mines, Urban Development and Construction, Agriculture, Transport and Refugees affairs.</p>

			However, these plans will be integrated to the national framework.]
1.3 Technical capacity is developed	[95]	a) Number of people trained in climate analysis.	[2769]
		b) What institutions they belong to?	[parliamentarians, federal, regional, zonal and district governmental and Civil Society Organizations, higher learning institutions, teachers and students in the primary and secondary schools Chamber of Commerce and Community Organizations Women & youth affairs Institutions,]
		c) Please provide details of the related capacity development initiatives.	[Leadership for Result Program for 9 Ministries, 1 Federal Parlama and a CSO organizations initiated. Climate Action Intelligence is also initiated which will roll out for the coming 3.5 months. Moreover the capacity of the coordinating body-EPA and its replica at the regional and city administrations levels strengthened through the procurement office equipments such as desk top computers, field tools and equipments; dialogues forums on Climate Change vulnerability, Adaptation Capacity, Adaptation Options conducted..Climate friendly solar pump conversion kits technologies demonstrated in 20 demonstration project cluster resource centres]
		d) Are you measuring how the capacity building activities organized contribute to achieving the outcome 1?	✓ [YES <input type="checkbox"/> NO <input type="checkbox"/>]

		e) If yes, please detail.	[Through feedback being obtained from participating institutions and Regional Units. In addition field level observation and monitoring are also showing that capacity building activities being undertaken by the support of AAP are bringing behavioural change meaningful practical action. As a result Federal sector ministries and Regional States have established planning Task Forces and drafted sectoral and regional adaptation plans.]
OUTCOME 1:	SUMMARY OF	MAIN	ACHIEVEMENTS
Development of guidelines, manuals, technological practices and portfolios as well as technical studies relevant for the long term planning to address the impacts of climate change. Regions/ City Administrations prepared their respective climate change adaption plans which are the basis for the development long term plan-the country's Climate Resilient Strategy and sector specific investment Plans. Various capacity building activities being undertaken to remove capacity building related barriers at institutional, individual and systemic levels.]			
OUTCOME 1: ISSUE LOG (CHALLENGES) Low capacity of Sectoral and Regional Institutions to deliver on time .lack of Comprehensive analysis of climate scenario, vulnerability assessment, lack of comprehensive climate risk management capacity assessment , lack of well developed climate coordination mechanism at national and sub-national level are still endemic challenge that has to be tacked before full outcome is realised]			
OUTCOME 1: IDENTIFIED NEEDS National climate resilient green economy planning process has identified financing gap of 1.5 million USD for the comprehensive climate resilience strategy and investment planning phase.]			
OUTCOME 1: MILESTONES FOR NEXT QUARTER : provide specialised technical expertise to build capacity of planning units at sector Ministries at Federal and regional level to enable them incorporate climate change in their sectoral planning as stipulated under CRGE; identify vulnerable groups that should be eligible to construct, and should benefit from, a carbon neutral, climate resilient economy.]			
Outcome 2. Countries have built leadership capacities and developed institutional frameworks to manage climate change risks and opportunities in an integrated manner at the local and national levels			
2.1 Awareness and action on climate change adaptation	[98]	a) How many decision makers/leaders are engaged in your awareness raising activities?	[304]
		b) What sector/institution they belong to?	[Parliamentarians; Heads of 11 Regional Environment Bureaus of Regional

have increased.			Government, Department Heads of 13 Sector ministries]
		c) Have you recorded specific actions taken as a result of AAP awareness raising activities?	✓ [YES <input type="checkbox"/> NO <input type="checkbox"/>]
		d) If yes, please detail.	[CC mainstreaming activities are being initiated in Sectors Ministries, Regional states and District levels. mainstreaming of CC during formulation of proclamations and regulations by parliamentarians at National Policy formulation level is remarkable achievement. About 45 adaptation Learning projects are under implementation in different parts of the country and lessons are being drawn. The projects are in the thematic areas of Infrastructural and Water Sensitive Industries adaptation, Lakes and Rivers ecosystem adaptation and rehabilitation, Community Based Adaptation through alternative livelihood development and Primary Solid Waste Management and clean and alternative energy development]
2.2 Institutional structures and mechanisms have been established	[75]	a) Can you report about institutional structures (committees, task forces and processes) been established to promote climate change adaptation, as a result of AAP initiatives?	✓ [YES <input type="checkbox"/> NO <input type="checkbox"/>]
		b) If yes, name and detail their mandate.	[The establishment of 12 Technical Working Groups (TWGs) in 12 key Sector institutions: Their mandate is to prepare their respective CC Adaptation Programmes, to closely ensure follow up of mainstreaming CC in sector policies, programmes, plans and projects. 2) CRGE Technical Team: This team was established during the formulation

			<p>of Carbon Resilient Green Economy (CRGE) Strategy of Ethiopia. The team has finalized the Strategy and it is launched at national level and also at Durban COP Meeting of December 2011. Interministerial committee chaired by his Excellency the Advisor of the Prime Minister, is also established to follow up the process of CRGE formulation and the mainstreaming of climate change at Federal Sectoral level as a continuous process.</p> <p>]</p>
		<p>c) Can you report about new or expanded Governmental programmes launched to address climate change, as result of AAP action?</p>	<p>✓ [YES <input type="checkbox"/> NO <input type="checkbox"/>]</p>
		<p>d) If yes, please name them.</p>	<p>❖ [The development of the Ethiopian Climate Resilient Green Economy (CRGE) Vision and Strategy, as well as the Ethiopian Plan of Action to Adapt to Climate Change (EPACC) is triggered by AAP. The Prepared CRGE Strategy for the seven sectors and the CRGE Vision are launched at COP 17 in Durban in the presence of high levels personalities including the Prime Minister of Ethiopia.]</p>
<p>OUTCOME 2: SUMMARY OF MAIN ACHIEVEMENTS: remarkable achievement is the launching of Climate Resilient Green Economy (CRGE) Strategy and the CRGE Vision at national and international levels. The evolution of climate change institution is also remarkable. Sensitization of decision makers including parliamentarians and Regional Bureau Heads was conducted in two different sessions. Due to that substantial support is being obtained from decision makers in mainstreaming CC during the preparation and approval of National Policies and Regulation. Upstream activities including Regional and sectoral Adaptation programmes are enriched from the implementation of adaptation learning demonstration projects being implemented in all Regional States. CC adaptation lessons are being collected and documented from these demonstration projects in thematic areas of renewable and clean energy, Infrastructural adaptation (dams and reservoirs), and adaptation of lakes, wetlands and rivers, solid waste and local community resilience..]</p>			

OUTCOME 2: ISSUE LOG (CHALLENGES) : lack of institutional setup at sub-national levels, and too much emphasis for national process only.]			
OUTCOME 2: IDENTIFIED NEEDS need to establish formal coordination at sub-national levels and targeted capacity development]			
OUTCOME 2: MILESTONES FOR NEXT QUARTER Organize Special seminars & visit series to EC and/or Sectoral Environmental Units, Regional EPAs & Council members; Strengthen the technical and administrative function of Environmental Council and TWGs secretariat; Finalize the Leadership for Result Program Undertake demonstration projects, monitoring and action learning visits and provide technical and financial support for Regional & woreda leadership.;]			
Outcome 3. Countries are implementing climate resilient policies and measures in priority sectors			
3.1 Climate change adaptation policies have been approved	[75]	a) Can you report about any climate change legislation or formal policy directive been approved as a result of AAP initiative?	✓ [YES <input type="checkbox"/> NO <input type="checkbox"/>]
		b) If yes, please detail.	[Climate Resilient Green Economy Strategy developed and launched at national and in Durban at COP 17.The AAP contributed substantively through the development of climate change adaption plans, consultation process of CRGE, printing and distributing of the CRGE Vision and Strategy.]
		c) Have corresponding strategies for implementing policies and directives been adopted?	[YES <input type="checkbox"/> NOx <input type="checkbox"/>]
		d) If yes, please detail.	[]
3.2 Development policy and plans address adaptation	[80]	a) Does the government infrastructure/investment plans incorporate climate change resiliency?	✓ [YES <input type="checkbox"/> NO <input type="checkbox"/>]
		b) If yes, please detail.	[Ethiopia envision to become middle income country and carbon neutral by 2025 is part of the growth and transformation plan and CC and environmental sustainability has been one of the thematic area of the GTP.]
		c) Can this action be attributable to the work of AAP?	✓ [YES <input type="checkbox"/> NO <input type="checkbox"/>]

		d) Can you report about national development plans or policies (including sector and subnational ones) addressing climate change adaptation been amended due to AAP action?	✓ [YES <input type="checkbox"/> NO <input type="checkbox"/>]
		e) If yes, please detail.	[The following sectors have developed their respective plans and they are expected to revisit their plans accordingly: Health, Wildlife, Water and Energy, Mines, Urban Development and Construction, Agriculture, Transport and Refugees affairs]
3.3 Adaptation measures are implemented in various sectors.	[75]	a) Have measures been taken to implement climate change policies or directives as a result of AAP work?	✓ [YES <input type="checkbox"/> NO <input type="checkbox"/>]
		b) If yes, please detail in which priority sector.	[46 climate change adaptation learning projects are under implementation]
		c) Are the adaptation measures being actively implemented attributable to AAP?	[YES x <input type="checkbox"/> NO <input type="checkbox"/>]
		d) If yes, please detail in which priority sector.	[Encouraging activities are being taken in sectors of Health, Wildlife, Water and Energy, Mines, Urban Development and Construction, Agriculture, Transport and Refugees affairs to see sector policies in terms of CC lens.]
OUTCOME 3: SUMMARY OF MAIN ACHIEVEMENTS			
Climate Resilient Green Economy Strategy developed and launched at national and in Durban at COP 17. The AAP contributed substantively through the development of climate change adaptation plans, consultation process of CRGE, printing and distributing of the CRGE Vision and Strategy. Moreover, AAP financed climate change adaptation demonstration projects having the objective of drawing lessons are under implementation.]			
OUTCOME 3: ISSUE LOG (CHALLENGES)			
Climate resilience plan is not as yet progressed as that of the green economy component of the CRGE. Climate change uncertainty and complexity of climate resilience measures will remain a challenge to government as compared to green economy.]			
OUTCOME 3: RELATED NEEDS			

The need for new and additional resources and technology to implement adaptation programmes at Federal and National Regional States and City Administrations levels

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OUTCOME 3: MILESTONES FOR NEXT QUARTER

Identify technologies from national and international sources, evaluate them, prioritise and prepare a portfolio of appropriate technologies and good practices needed for the implementation of concrete projects validated for the construction of a climate resilient economy; Assessment of technology needs and local adaptation capacity needs and identify suitable demonstration interventions in priority sectors; Identify and map communication pathways for delivery of and feedback on early warning information for improved seasonal responsiveness at local level; Identify vulnerable economic sectors and seek to define quick-win projects that adequately demonstrate social, economic and ecological benefits arising from the construction of a carbon neutral , climate-resilient economy. Complete a market chain analysis and design market systems in order to establish entrepreneurship and to foster a market for goods and services produced through the implementation of suitable projects in the course of constructing a climate resilient economy; integrate top-down climate (early warning) information with local level environmental planning to deliver climate resilient environmental and agricultural practices. Continue training of teachers and use students to disseminate best climate change adaptation lessons;]

Outcome 4. Financing options to meet national adaptation costs have been expanded at the local, national, sub-regional and regional levels

4.1 A variety of climate change adaptation financing options are available.	[85]	a) Have the costs of implementing adaptation plans been estimated?	[YES <input type="checkbox"/> NO x <input type="checkbox"/>]
		b) Have actions been taken by AAP to establish new financing options/mechanisms?	✓ [YES <input type="checkbox"/> NO <input type="checkbox"/>]
		c) If yes, please detail.	[The Climate Resilient Green Economy (CRGE) Facility with the model of Multi Donor Trust Fund is under establishment]
		d) Have national budgets been amended to provide for adaptation?	[YES <input type="checkbox"/> NOx <input type="checkbox"/>]
4.2 Adaptation financing mechanisms are being utilized.	[20]	a) Have proposals been submitted under new funding mechanisms?	• [YES <input type="checkbox"/> NO x <input type="checkbox"/>]
		b) If yes please detail.	[]
		c) What's the amount of new funding generated or available under the new funding mechanisms, if applicable?	[.]

OUTCOME 4: SUMMARY OF MAIN ACHIEVEMENTS

The establishment of Climate Resilient Green Economy Facility (CRGE Facility) is progressing well.			
OUTCOME 4: ISSUE LOG (CHALLENGES) Uncertainty of climate finance, uncertain donor interest, competition on national trust fund e.g. from MDBs			
OUTCOME 4: IDENTIFIED NEEDS Resource mobilization and advocacy for coordinated national mechanism and donor action			
OUTCOME 4: MILESTONES FOR NEXT QUARTER Training on preparation of Readiness Plan to participate under mechanism for Motivation Supporting and Rewarding(mMSRr) approach; Train sector institutions in the methods for developing projects for international climate change funding.			
Outcome 5. Knowledge on adjusting national development processes to fully incorporate climate change risks and opportunities is being generated and shared across all levels			
5.1 Knowledge products on mainstreaming climate change into development are accessible.	65	a) Have knowledge products been distributed or accessed?	✓ [YES <input type="checkbox"/> NO <input type="checkbox"/>]
		b) If yes, please specify what/how many.	[climate change mainstreaming guidelines; Environmental Education and Protection Manual, sectoral and regional/city administrations climate change adaption programs and the consolidated climate change adaption plans at country level; "Assessment of Drought and Flood Prone Areas of Ethiopia, Climate Resilient Technologies and Practices appropriate for schools and a portfolio of suitable approaches as well as Minimum standard for the identification of best practices in schools are accessible and some of them will be published and distributed in the first quarter of 2012]
		c) Are you recording users' response to the knowledge products?	✓ [YES <input type="checkbox"/> NO <input type="checkbox"/>]
		d) If yes, please specify under which outcomes were these products developed	[Africa Adaptation Programme Knowledge Needs Survey]

5.2 Countries are sharing experiences on climate change adaptation.	[85]	a) Have forums/visits been undertaken in the context of AAP?	[YES <input type="checkbox"/> NO x <input type="checkbox"/>]
		b) If yes, please specify.	[Discussed as responses to the above questions]
		c) Are there regional networks/collaborations initiated between countries?	[YES <input type="checkbox"/> NOX <input type="checkbox"/>]
		d) If yes, please specify.	[]
		e) Have you used innovation and good practices transferred from other AAP countries?	[YES <input type="checkbox"/> NOX <input type="checkbox"/>]
		f) If yes, please specify.	[]
5.3 Project results and experiences are being widely disseminated.	[60]	a) Does your AAP project have a communication plan/strategy in place?	[YES <input type="checkbox"/> NOX <input type="checkbox"/>]
		b) If yes, please specify.	[]
		c) Are your AAP project results, lessons, good practices documented, disseminated and discussed?	✓ [YES <input type="checkbox"/> NO <input type="checkbox"/>]
		d) If yes, please specify.	❖ [UNICEF has developed Minimum standard for the identification of best practices in schools developed and 13 minutes video documentary on schools community effort on environmental education and protection prepared and EPA has started compiling best practices]
OUTCOME 5:SUMMARYOF MAIN ACHIEVEMENTS The initiation to document best practices]			
OUTCOME 5:ISSUE LOG (CHALLENGES) Delay in the documentation of best practices and in the development of Gender sensitive Knowledge Management and Communication Strategy]			

OUTCOME 5: IDENTIFIED NEEDS			
Information on the identification of best practices]			
OUTCOME 5: MILESTONES FOR NEXT QUARTER			
Finalize the documentation of best practices and the development of gender sensitive Knowledge management system and communication strategy for climate change; Disseminate Best Practice and Learning from the programme and sister programmes.; Prepare CCA Information management guidelines to ensure consistent messages; Document best practices developed from action learning projects; Provide technical and financial support for the generation of evidence from target demonstration areas for assessing the: vulnerability of communities in different agro-ecological zones and climate variability and risk assessments]			
Outcome 6. AAP projects are being effectively managed in accordance with UNDP standards			
6.1 Management processes operate effectively and efficiently	[80]	a) How many steering committee meetings your project has organised during 2011?	[Three]
		b) Has your project a M&E system in place responding to issues and risks?	✓ [YES <input type="checkbox"/> NO <input type="checkbox"/>]
		c) Is your project being implemented according to the AWP?	[YES <input type="checkbox"/> NO x <input type="checkbox"/>]
		d) If not, please detail the reasons.	[There is a frequent re-programming request form the IP]
6.2 Reporting and communication conform to programme requirements	[90]	a) Is the PM-component of ATLAS up-to-date?	✓ [YES <input type="checkbox"/> NO <input type="checkbox"/>]
		b) How regularly is it updated?	[Quarterly basis]
		c) Are the annual, quarter and month reports being submitted on time to UNDP CO/Steering Committee? PLEASE ATTACH THE REPORTS YOU PREPARED IN 2011, OTHER THAN THE ONES FOR IRTSC	✓ [YES <input type="checkbox"/> NO <input type="checkbox"/>]
		d) Has a financial audit been undertaken?	[YES <input type="checkbox"/> NO x <input type="checkbox"/>]
		e) If yes, when?	[]

		f) Do you communicate regularly with Government of Japan keeping them informed of your activities?	[YES <input type="checkbox"/> NO X <input checked="" type="checkbox"/>]
		g) If yes, please detail the modalities followed.	[]
OUTCOME 6: SUMMARY OF MAIN ACHIEVEMENTS			
Convene three Steering Committee Meetings]			
OUTCOME 6: ISSUE LOG (CHALLENGES)			
<ul style="list-style-type: none"> • Problematic fund transfer modality • Low delivery rate • The lack of clear coordination mechanism • UN agencies are not implementing the project as per the commitment they have entered • Lack of proper project management structure] 			
OUTCOME 6: IDENTIFIED NEEDS			
Improve fund transfer modality			
Accelerate delivery			
Improve coordination mechanism b/n EPA and UNICEF and]			
OUTCOME 6: MILESTONES FOR NEXT QUARTER			
Accelerate delivery rate and enhance more coordination]			
PARTNERSHIPS			
Have you established official partnerships (official =finalised through a formal agreement, MoU, etc.)?			[YES <input type="checkbox"/> NO X <input checked="" type="checkbox"/>]
If yes, please list them and specify their nature and functions.			[]
Have you established informal partnerships?			[YES <input type="checkbox"/> NO X <input checked="" type="checkbox"/>]
If yes, please list them.			[]

Prepared by[]

[Date]

Endorsed by[]

[Date]