EUROPEAN UNION
CONTRIBUTION AGREEMENT¹

ENI/2020/418-101 (the "Agreement")

The European Union, represented by the European Commission, B-1049 Brussels, Belgium, itself represented by the Delegation of the European Union to Georgia, 64b Chavchavadze Avenue, 0179 Tbilisi, Georgia, ("the Contracting Authority"),

(the "Contracting Authority"), first counterparty,

and

United Nations Development Programme (UNDP)
Multi Partner Trust Fund Office (MPTFO)
One UN Plaza, 304 East 45th St., 11 Floor
10017 – New York, USA

hereinafter the “Organisation”,

and

Office of the United Nations High Commissioner for Human Rights (OHCHR)
Palais des Nations, 8 - 14 Avenue de la Paix,
1211 Geneva
Switzerland

(individually a "Party" and collectively the “Parties”) have agreed as follows:

SPECIAL CONDITIONS

Article 1 - Purpose

1.1 The purpose of this Agreement is to provide a financial contribution to finance the implementation of the action “Human Rights for All, Phase II (the “Action”). This Agreement establishes the rules for the implementation and for the payment of the EU Contribution, and defines the relations between the Organisation and the Contracting Authority.

1.2 The Action is a Multi-Donor Action and the EU Contribution is not earmarked.

1.3 In the performance of the activities, the Organisation shall:

a) Apply its own accounting, internal control and audit systems which have been positively assessed in the ex-ante pillar assessment.

b) Apply its own procurement procedures, as assessed in the ex-ante pillar assessment and its own rules for the award of Grants, as assessed in the ex-ante pillar assessment.

In the performance of the activities, the partner organization OHCHR shall:

a. Apply its own accounting, internal control and audit systems which have been positively assessed in the ex-ante pillar assessment.

¹ To be used in the context of standard Contribution Agreements including within a blending facility/platform when the Action does not involve a risk-sharing mechanism.
b. Apply its own procurement procedures, as assessed in the ex-ante pillar assessment and its own rules for the award of Grants, as assessed in the ex-ante pillar assessment.

The Organisation and the Partner is free to use any Regulations and Rules which have not been subject to an ex-ante pillar assessment to the extent that these Regulations and Rules are not in conflict with the provisions of this Agreement.

1.4 The Action is financed under the ENI - European Neighbourhood Instrument (NEAR).

1.5 The Organisation and Partner Organization OHCHR shall provide management declarations in accordance with Article 3.10 of Annex II with every progress and final report

1.6 This Agreement is subject to the provisions of Financial and Administrative Framework Agreement (FAFA) between the European Union and the United Nations of 29 April 2003 as amended by Addendum No. 1 signed on 26 February 2014 and its further consolidated version applicable as of 1 January 2019.

Article 2 - Entry into Force and Implementation Period

Entry into Force
2.1 The Agreement shall enter into force on the date when the last Party signs.

Implementation Period
2.2 The implementation period of the Agreement (the "Implementation Period") shall commence on 1 December 2020.

2.3 The Implementation Period of the Agreement is 36 months.

Article 3 - Financing the Action

3.1 The total cost of the Action² is estimated at USD ("Currency of the Agreement") 2,988,954.00 as set out in Annex III. The Contracting Authority undertakes to provide a contribution up to a maximum of EUR 2,300,000.00, which is estimated at USD 2,690,058.00 (the “EU Contribution”).

The final amount will be established in accordance with Articles 18 to 20 of Annex II.

Remuneration

3.2 The remuneration of the Organisation by the Contracting Authority for the implementation of the activities to be implemented under this Agreement shall be 7% of the final amount of eligible direct costs of the Action to be reimbursed by the Contracting Authority.

Interest on pre-financing

3.3 Interest generated on pre-financing shall not be due.

Article 4 - Payment Arrangements and Reporting

4.1 The pre-financing rate is 100%.

² This amount is introduced only for indicative purposes. It is an estimate and its evolution does not condition the EU Contribution.
4.2 Payments shall be made in accordance with Article 19 of Annex II. The following amounts are applicable, all subject to the provisions of Annex II:

First pre-financing instalment: USD 1,076,023.00
Second pre-financing instalment: USD 672,514.50
Third pre-financing instalment: USD 672,514.50
Forecast balance: USD 269,006.00

These amounts are indicative and subject to modification in accordance with the provisions of Article 19 of Annex II.

The sum of the payments in the accounting currency of the Organisation shall not exceed the total EU Contribution in EUR.

4.3 The Commission intends to progressively introduce an electronic exchange system for the e-management of contracts and agreements (the “System”). The Organisation will be required to register in and use the System to allow for the e-management of Contribution Agreements. The Commission will inform the Organisation in writing at least three months prior to the date of application of the individual components of the System.

As a first step, the information to be provided in accordance with Article 3.7 b) of Annex II has to be processed via the System for all reports.

As a second step, all documents related to this Agreement (including reports, payment requests and formal amendments as per Article 11.1 of Annex II) will have to be processed via the System.

Article 5 – Communication language and contacts

5.1 All communications to the Contracting Authority in connection with the Agreement, including reports referred to in Article 3 of Annex II, shall be in English.

5.2 Subject to Article 4.3, any communication relating to the Agreement shall be in writing, shall state the Contracting Authority’s contract number and the title of the Action, and shall be dispatched to the addresses below.

5.3 Subject to Article 4.3, any communication relating to the Agreement, including payment requests and attached reports, and requests for changes to bank account arrangements shall be sent to:

Delegation of the European Union to Georgia
For the attention of the Contracts, Finance & Audit Section
64B Chavchavadze Street
0179 Tbilisi, Georgia

Copies of the documents referred to above, and correspondence of any other nature, shall be sent to:

Delegation of the European Union to Georgia
For the attention of the Operations Section
64B Chavchavadze Street
For the Organisation

Ms. Jennifer Topping
Multi-Partner Trust Fund Office (MPTFO)
United Nations Development Program (UNDP)
One United Nations Plaza, 304 East 45th Str. 11th floor
10017 New York, NY, United States of America

5.4 Ordinary mail shall be deemed to have been received on the date on which it is officially registered at the address referred to above.

5.5 The contact point within the Organisation, and the Partner Organization which shall have the appropriate powers to cooperate directly with the European Anti-Fraud Office (OLAF) in order to facilitate the latter’s operational activities shall be: Office of Audit and Investigations, Head of Investigation Section, United Nations Development Programme, One United Nations Plaza, DC1 Building 4th floor, New York, NY 10017, USA; and on behalf of OHCHR – Chief of Donor and External Relations Section, Ms. Mercedes Morales – Office of the United Nations High Commissioner for Human Rights, Palais des Nations, 8 - 14 Avenue de la Paix, 1211 Geneva, Switzerland;

5.6 All exchanges concerning the Early Detection and Exclusion System shall take place between the Contracting Authority and the authorised person designated by the Organisation, which is:

- Head of the Investigations Section, Office of Audit and Investigations, United Nations Development Program, One United Nations Plaza, 4th floor, New York, NY-10017, USA;
- Chief of Donor and External Relations Section, Ms. Mercedes Morales – Office of the United Nations High Commissioner for Human Rights, Palais des Nations, 8 - 14 Avenue de la Paix, 1211 Geneva, Switzerland;

Article 6 - Annexes

6.1 The following documents are annexed to these Special Conditions and form an integral part of the Agreement:

Annex I: Description of the Action (including the Logical Framework of the Action)
Annex II: General Conditions for Contribution Agreements
Annex IIa: Provisions applicable only to Multi-Partner Contribution Agreements
Annex III: Budget for the Action
Annex IV: Financial Identification Form
Annex V: Standard Request for Payment
Annex VI: Communication and Visibility Plan
Annex VII: Management Declaration

6.2 In the event of a conflict between these Special Conditions and any Annex thereto, the provisions of the Special Conditions shall take precedence. In the event of a conflict between the provisions of Annex II (including Annex IIa) and those of the other Annexes, the provisions of Annex II (including Annex IIa) shall take precedence.

Article 7 – Additional specific conditions applying to the Action
7.1 The following shall supplement Annex II:

For costs of a project office:

7.1.1 Where the implementation of the Action requires the setting up or the use of one or more project offices, the Organisation may declare as eligible direct costs the capitalised and operating costs of the structure if all the following conditions are fulfilled:

a) They comply with the cost eligibility criteria referred to in Article 18.1 of Annex II;

b) They fall within one of the following categories:

i) costs of staff, including administration and management staff, directly assigned to the operations of the project office. The tasks listed in the Description of the Action (Annex I), undertaken by staff assigned to the project office will be directly attributable to the implementation of the Action.

ii) travel and subsistence costs for staff and other persons directly assigned to the operations of the project office;

iii) depreciation costs, rental costs or lease of equipment and assets composing the project office.

iv) costs of maintenance and repair contracts specifically awarded for the operations of the project office;

v) costs of consumables and supplies specifically purchased for the operations of the project office;

vi) costs of IT and telecommunication services specifically purchased for the operations of the project office;

vii) costs of energy and water specifically supplied for the operations of the project office;

viii) costs of facility management contracts including security fees and insurance costs specifically awarded for the operations of the project office;

c) Where costs of the project office are declared as actual costs, the Organisation declares as eligible only the portion of the capitalised and operating costs of project office that corresponds to the duration of the Action and the rate of actual use of the project office for the purposes of the Action.

d) Costs of the project office not declared as actual costs are only eligible if they have been ex ante-assessed by the European Commission.

7.1.2 For the purpose of this Agreement, the Organisation acts as UN Administrative Agent, under the following conditions:

a) The Organisation shall serve as the administrative interface between the Contracting Authority, other donors and the Participating UN Organisations. The monitoring task established in Article 2.b of Annex II.a shall be implemented in accordance with the mandate of the UN Administrative Agent.

b) In addition to the tasks described in Article 2 of Annex II.a, the Organisation shall act as Administrative Agent for the UN Organisations and will therefore:

i) receive financial contributions from all donors that wish to provide financial support to the Action;

ii) administer the funds received, in accordance with its applicable Regulations and Rules, including the provisions relating to winding up the Action and related matters;

iii) subject to availability of funds, disburse such funds to each of the Participating UN Organisations in accordance with instructions from the Steering Committee, taking into account the budget set out in the approved programmatic document/Joint Programme Document\(^3\), as amended in writing by the Steering Committee;

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\(^3\) For the purposes of this Agreement, an approved programmatic document shall refer to an annual work plan or programme/project document, etc., which is approved by the Steering Committee for fund allocation purposes.
iv) consolidate statements and reports, based on submissions provided to the Administrative Agent by each Participating UN Organisation, as set forth in the TOR/Joint Programme Document, and provide these to each donor that has contributed to the Fund/Programme Account and to the Steering Committee;

v) provide final reporting, including notification that the Action has been operationally completed;

vi) disburse funds to a Participating UN Organisation for any additional costs of the tasks that the Steering Committee may decide to allocate in accordance with the TOR/Joint Programme Document.

c) A coordination mechanism (referred to as the "Steering Committee")\(^4\) to facilitate the effective and efficient collaboration between the Participating UN Organizations and the host Government for the implementation of the Fund or Programme shall be established. The detailed description of key roles, responsibilities and functions of the Steering Committee is provided in Annex I ("Description of the Action").

d) Without prejudice to points 2.b) to 2.k) of Article 2 of Annex II.a), the Organisation shall be solely responsible for the performance of tasks assigned to it in Annex I and in the specific agreement between itself and the Partners.

e) By derogation from Article 3 of Annex II, the Organisation shall provide the Contracting Authority with the following reports, in the same language as the Agreement, based on the reports provided by each UN Participating Organisation and prepared in accordance with the accounting and reporting procedures applicable to it:

i) annual consolidated narrative progress reports to be provided no later than five months (31 May) after the end of the calendar year;

ii) annual consolidated financial reports, as of 31 December with respect to the funds disbursed from the Fund/Programme Account, to be provided no later than five months (31 May) after the end of the calendar year;

iii) final consolidated narrative report to be provided no later than six months (30 June) after the end of the year following the financial closing of the Action and/or end of implementation period, whichever comes first;

iv) in case of Multi-Donor Actions which continue after the end of the implementation period of this Agreement, a final consolidated financial report, based on uncertified final financial statements and final financial reports, to be provided no later than six months (30 June) after the end of the year following the financial closing of the Action and/or end of implementation period, whichever comes first.

Done in Tbilisi, Georgia and New York, USA in four (4) originals in the English language, two for the Contracting Authority, one for the Organisation and one for each Partner.

\(^4\) The Steering Committee (SC) is co-chaired by the Government and the UN Resident Coordinator (RC) or the Deputy Special Representative of the Secretary General (DSRSG). Members include the UN and government representatives and may also include donors. The decision on the inclusion of donors is taken at the country level. Steering Committee composition ensures the principles of national ownership, inclusiveness and balanced representation, as well as the need to have a manageable size for decision-making effectiveness.
For the Organisation
Name: Jennifer Topping
Position: Executive Coordinator, MPTF Office UNDP
Signature
Date 30 November 2020

For the Contracting Authority
Name: Carl Hartzell
Position: Head of Delegation
Signature
Date

For United Nations High Commissioner’s Office
Name: Michelle BACHELET
Position: UN High Commissioner for Human Rights
Signature
Date 30 November 2020