**Annex 1: Audit and advisory reports issued in 2021**

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| **No.** | **Audit Type** | **Report No.** | **Audit Subjects** | **No. of Recommendations** | | **Report**  **Rating** |
| **Total** | **High Priority** |
| **Headquarters** | | | | | | |
| 1 | Headquarter audits | 2334 | Performance audit of the Regional Bureau for Europe and the CIS | 3 |  | Fully Satisfactory |
| 2 | 2336 | Performance audit of UNDP Global Procurement | 2 | 1 | Satisfactory/SI |
| 3 | 2319 | Performance Audit of UNDP Enterprise Risk Management | 6 | 1 | Satisfactory/SI |
| 4 | 2213 | Performance audit of Gender Equality and Empowerment of Women in UNDP | 3 | 1 | Satisfactory/SI |
| 5 | 2216 | Performance audit of UNDP Accelerator Labs | 1 |  | Satisfactory/SI |
| 6 | 2411 | MS-Azure | 4 | 1 | Satisfactory/SI |
| 7 | 2405 | UNDP Seoul Global Policy Centre |  |  | Fully Satisfactory |
| 8 | 2320 | Audit of the Write-Off Processes | 4 | 4 | Partially Satisfactory/MI |
| 9 | 2288 | Decentralized digital initiatives |  |  | Fully Satisfactory |
| 10 | 2331 | Follow-up on the GEF audit |  |  | N/A |
| 11 | 2429 | Report on the audits of UNDP projects implemented by NGO/NIM |  |  | N/A |
| 12 | Global Fund grants | 2330 | Consolidated Report on the Audits of PR of Grants for the Global Fund |  |  | N/A |
| 13 | DIM projects | 2406 | Global HIV Health Dev Project, including key Governance mechanisms 63928 |  |  | Fully Satisfactory |
| 14 | 2407 | Caribbean Citizen Security Strengthening - 97340 |  |  | N/A |
| 15 | 2337 | Audit of GPECS Phase II in the MENA Region no. 94744 | 1 |  | Fully Satisfactory |
| 16 | 2393 | UNCDF - Project 112855 PARERBA | 1 |  | N/A |
| 17 | 2394 | UNCDF - Dev Initiative for N. Uganda and Skilling Youth for Early Childhood |  |  | N/A |
| 18 | 2395 | UNCDF - The Local Climate Adaptive Living Facility |  |  | N/A |
| 19 | 2392 | UNCDF - Better Than Cash Alliance |  |  | N/A |
| 20 | 2413 | UNCDF - Somalia Multi Window Trust Fund - 113178 | 1 |  | N/A |
| 21 | Advisory service/write-off | 2441 | Request for Write-off-Miscellaneous Accounts Receivables 14080-South Africa |  |  | N/A |
| 22 | 2464 | Risk Advisory - Atlas Procurement and Payment Modules |  |  | N/A |
| 23 | 2419 | Request for write-off from BPPS - Ventilators |  |  | N/A |
| 24 | 2422 | Write-off request from UNDP Saudi Arabia |  |  | N/A |
| 25 | 2421 | Write-off request from UNDP Ecuador |  |  | N/A |
| 26 | 2469 | Write-off request from OFM/BMS |  |  | N/A |
| 27 | 2414 | Review of Write Off Request from JPOSC-June 2021 |  |  | N/A |
| 28 | 2468 | Risk advisory Note-Home Leave |  |  | N/A |
| 29 | 2408 | Review of Write-off request from GSSU |  |  | N/A |
| 30 | 2473 | Risk Advisory - Implementation of the NextGen ERP Project |  |  | N/A |
| 31 | 2467 | Advisory on the write-off process of VAT/sales tax |  |  | N/A |
| 32 | 2338 | Review of Write-Off request from UNV |  |  | N/A |
| 33 | 2465 | Due Diligence Partnership |  |  | N/A |
| 34 | 2278 | Forensic review of UNDP Samoa's financial transactions |  |  | N/A |
| 35 | 2474 | FY2020 HACT financial audit |  |  | N/A |
| **Country offices** | | | | | | |
| **Africa** | | | | | | |
| 36 | Country Office | 2296 | UNDP Mali | 4 | 2 | Satisfactory/SI |
| 37 | 2292 | UNDP Congo | 4 | 2 | Partially Satisfactory/MI |
| 38 | 2291 | UNDP Central African Republic | 3 | 1 | Satisfactory/SI |
| 39 | 2281 | UNDP Guinea Bissau | 3 | 1 | Satisfactory/SI |
| 40 | 2274 | Audit of UNDP Senegal | 2 | 1 | Satisfactory/SI |
| 41 | 2305 | UNDP Tanzania | 4 | 1 | Satisfactory/SI |
| 42 | 2304 | UNDP Mozambique | 8 | 3 | Unsatisfactory |
| 43 | 2303 | UNDP Malawi | 2 | 2 | Partially Satisfactory/MI |
| 44 | 2302 | UNDP Mauritius | 1 |  | Satisfactory/SI |
| 45 | 2301 | UNDP Nigeria |  |  | Satisfactory/SI |
| 46 | 2228 | UNDP Angola |  |  | Satisfactory/SI |
| 47 | DIM projects | 2401 | UNDP South Sudan 77970 - Access to Justice and Rule |  |  | N/A |
| 48 | 2397 | UNDP Ethiopia 117580- Electoral Support Project |  |  | Fully Satisfactory |
| 49 | 2399 | UNDP Nigeria 126628 - COVI-19 Outbreak Response |  |  | Fully Satisfactory |
| 50 | 2400 | UNDP Nigeria 108936 - Integrated Community Stabiliza | 1 |  | N/A |
| 51 | 2398 | UNDP Malawi 100113 - Malawi National Registration |  |  | N/A |
| 52 | 2365 | UNDP Cote d'Ivoire - Project 119409 «Appui aux elections en CI» |  |  | N/A |
| 53 | 2366 | UNDP Madagascar - Project 120844 «Appui systeme sante COVID-19» |  |  | N/A |
| 54 | 2362 | UNDP Burundi - Project 116571 «Acces aux services de sante» | 2 |  | N/A |
| 55 | 2364 | UNDP Chad - Project 101015 «Developpement local et finance» |  |  | N/A |
| 56 | 2367 | UNDP Niger - Project 119773 «Processus electoral 2020-2021» |  |  | N/A |
| 57 | 2368 | UNDP Togo - Project 105760 «Programme d’urgence et developpement communautair» | 1 | 1 | N/A |
| 58 | 2376 | UNDP CAF - Project 124997 «Appui Processus Electoral» |  |  | Fully Satisfactory |
| 59 | 2363 | UNDP Cameroon - Project 126670 «Appui a la reponse nationale du COVID-19 | 1 |  | Fully Satisfactory |
| 60 | Global Fund grants | 2290 | UNDP Burundi - Global Fund | 1 |  | Satisfactory/SI |
| 61 | 2297 | UNDP Sao Tome and Principe - Global Fund |  |  | Fully Satisfactory |
| 62 | 2282 | Guinea Bissau - Global Fund | 1 |  | Satisfactory/SI |
| 63 | 2231 | UNDP Angola- Global Fund |  |  | Satisfactory/SI |
| 64 | 2207 | UNDP Chad - Global Fund |  |  | Satisfactory/SI |
| 65 | Other audits -Forensic audit | 2424 | UNDP Sierra Leone- Forensic Audit |  |  | N/A |
| 66 | Advisory service/write-off | 2462 | Risk Advisory Note - Custodial Items |  |  | N/A |
| 67 | 2402 | Review of write-off request from Niger CO |  |  | N/A |
| **Asia and the Pacific** | | | | | | |
| 68 | Country Office | 2412 | UNDP Thailand | 1 |  | Fully Satisfactory |
| 69 | 2410 | UNDP Viet Nam | 1 |  | Fully Satisfactory |
| 70 | 2403 | UNDP Iran | 3 | 1 | Satisfactory/SI |
| 71 | 2333 | UNDP Bangladesh |  |  | Fully Satisfactory |
| 72 | 2321 | UNDP Sri Lanka | 4 | 2 | Satisfactory/SI |
| 73 | 2272 | UNDP Myanmar |  |  | Satisfactory/SI |
| 74 | DIM projects | 2374 | UNDP Afghanistan - COVID-19 Support to MoIA Afghanistan (Project 126930) |  |  | N/A |
| 75 | 2370 | UNDP Cambodia - Emergency COVID-19 Support to Govt of Cambodia (Project 127069) |  |  | Fully Satisfactory |
| 76 | 2371 | UNDP Pakistan - Stabilization and Development Programme (Project 88875) |  |  | N/A |
| 77 | 2372 | UNDP Pakistan - Merged Areas Governance Project (Project 108127) |  |  | N/A |
| 78 | 2375 | UNDP Afghanistan - ACTION Project (Project 120040) |  |  | N/A |
| 79 | 2373 | UNDP Afghanistan - UN Electoral Support Project (Project 105005) |  |  | N/A |
| 80 | 2369 | UNDP India - Improving Efficiency of Vaccination Systems (Project 98752) | 3 | 3 | N/A |
| 81 | Advisory service/write-off | 2472 | Weaknesses in Management of Direct Project Costs Recovery |  |  | N/A |
| 82 | 2318 | Review of request for write-off from UNDP Philippines |  |  | N/A |
| **Arab States** | | | | | | |
| 83 | Country Office | 2289 | UNDP Algeria | 3 |  | Fully Satisfactory |
| 84 | 2294 | UNDP Djibouti | 1 | 1 | Partially Satisfactory/MI |
| 85 | 2327 | UNDP Sudan | 8 | 4 | Partially Satisfactory/MI |
| 86 | 2324 | UNDP Lebanon | 4 | 3 | Partially Satisfactory/MI |
| 87 | 2322 | UNDP Syria | 4 | 1 | Satisfactory/SI |
| 88 | DIM Projects | 2352 | UNDP Lebanon - Early Recovery for Displaced S (project 65799/output 84708) |  |  | N/A |
| 89 | 2353 | UNDP Lebanon Increasing Access to Potable W (project 77399- output 88194) |  |  | N/A |
| 90 | 2354 | UNDP Lebanon - Gatherings 2 (project 93058 - output 97505) |  |  | N/A |
| 91 | 2355 | UNDP Libya /Immediate Stabilization Facili (Project 94616 -Output 112158) | 1 |  | N/A |
| 92 | 2356 | UNDP Libya - Resilience and Recovery (project-104158/outputs 105858 & 112553) | 1 |  | N/A |
| 93 | 2350 | UNDP Iraq -ICRRP (Project 85156/Output 105112) |  |  | N/A |
| 94 | 2351 | UNDP Iraq -Support to Security &Justice S (Project 115890/Output 113282) |  |  | N/A |
| 95 | 2361 | UNDP Yemen - Emergency Mine Action (project 9975/output 103025) |  |  | N/A |
| 96 | 2349 | UNDP Iraq -Funding Facility for stabiliza (Project 89459/Outputs 95684 &120726) |  |  | N/A |
| 97 | 2357 | UNDP PAPP / PAL/ Construction of 300 Housing (project 42831/output 99288) |  |  | N/A |
| 98 | 2358 | UNDP PAPP - Promoting the Rule of Law in Pal. (project 99474/output 102754) |  |  | N/A |
| 99 | Advisory service/write-off | 2339 | Request for write-off from UNDP Sudan |  |  | N/A |
| **Europe and CIS** | | | | | | |
| 100 | Country Office | 2326 | UNDP Cyprus | 2 |  | Satisfactory/SI |
| 101 | 2325 | UNDP Kosovo | 1 | 1 | Satisfactory/SI |
| 102 | 2309 | UNDP Montenegro |  |  | Fully Satisfactory |
| 103 | 2323 | UNDP Ukraine | 3 | 1 | Partially Satisfactory/MI |
| 104 | 2193 | UNDP Tajikistan | 2 |  | Fully Satisfactory |
| 105 | DIM Projects | 2343 | UNDP Kyrgyzstan-Active Citizenship and Account/project (98320) output (101685) |  |  | N/A |
| 106 | 2346 | UNDP Tajikistan/ Access to Justice, Phase II (Project 94331 -Output ID98445) |  |  | N/A |
| 107 | 2345 | UNDP Serbia/EU for Civil Protection project (126724) output (120703) |  |  | Fully Satisfactory |
| 108 | 2344 | UNDP R.N. Macedonia-Municipal Councils, 2/ project (90463) output (96214) |  |  | N/A |
| 109 | 2347 | UNDP Ukraine/ RPP (Project ID 00102396 - Output 111513) |  |  | N/A |
| 110 | 2348 | UNDP Ukraine/ Procurement Support Services t (Project ID 90474 -51 Outputs) |  |  | Fully Satisfactory |
| 111 | 2340 | UNDP Bosnia and Herzegovina-Response to COVID-19 outb/proj (126570) outp (120611) |  |  | Fully Satisfactory |
| 112 | 2341 | UNDP Bosnia and Herzegovina-Municipal Governance/ proj (80522) outp (90162) |  |  | N/A |
| 113 | 2342 | UNDP Bosnia and Herzegovina-Social Incl.in Local Comm./project (80525) outp (90164) |  |  | N/A |
| 114 | Global Fund grants | 2194 | UNDP Tajikistan - Global Fund |  |  | Fully Satisfactory |
| 115 | Other audits -Forensic audit | 2409 | UNDP Tajikistan -Support to Civil Registration System Reform (87621/97519) |  |  | N/A |
| 116 | Advisory service/write-off | 2416 | Request for write-off from UNDP Tajikistan |  |  | N/A |
| 117 | 2466 | Risk Advisory Note - Procurement Planning and Optimization of the Use of PROMPT |  |  | N/A |
| 118 | 2317 | Request for write-off from UNDP Uzbekistan |  |  | N/A |
| **Latin America & the Caribbean** | | | | | | |
| 119 | Country Office | 2315 | UNDP Ecuador |  |  | Fully Satisfactory |
| 120 | 2314 | UNDP Barbados | 3 |  | Satisfactory/SI |
| 121 | 2310 | UNDP Honduras | 3 | 2 | Satisfactory/SI |
| 122 | 2308 | UNDP Costa Rica |  |  | Fully Satisfactory |
| 123 | 2187 | UNDP Bolivia |  |  | Partially Satisfactory/MI |
| 124 | DIM Projects | 2389 | UNDP Honduras - IDENTIFICATE |  |  | Fully Satisfactory |
| 125 | 2388 | UNDP Guatemala - Programa de Desarrollo Metropolitano (PRODEME) |  |  | N/A |
| 126 | 2380 | UNDP Chile - Reduccion Vulnerabilidad Climatica |  |  | N/A |
| 127 | 2378 | UNDP Bolivia - Fortalecimiento Organo Electoral |  |  | N/A |
| 128 | 2379 | UNDP Bolivia - Gestion Sostenible de los ecosistemas |  |  | N/A |
| 129 | 2377 | UNDP Bolivia - Respuesta COVID19 |  |  | Fully Satisfactory |
| 130 | 2390 | UNDP Paraguay - Equipamiento Hospitales Especiales |  |  | N/A |
| 131 | 2384 | UNDP Ecuador - Green Climate Fund |  |  | N/A |
| 132 | 2385 | UNDP Ecuador - Respuesta ante la emergencia |  |  | N/A |
| 133 | 2386 | UNDP Ecuador - CAF Vulnerabilidad Climatica |  |  | N/A |
| 134 | 2387 | UNDP El Salvador - Fortalecimiento ISBM |  |  | N/A |
| 135 | 2381 | UNDP Colombia - Unidad de Busqueda de Personas |  |  | N/A |
| 136 | 2382 | UNDP Colombia - Reincorporacion Entornos Productivos |  |  | N/A |
| 137 | 2383 | UNDP Colombia - Reincorporacion Ambiental |  |  | N/A |
| 138 | 2391 | UNDP Peru - Mejoramiento Servicio de Comercializacion - Mercado de Belen |  |  | N/A |
| 139 | Global Fund grants | 2311 | UNDP Bolivia - Global Fund |  |  | Fully Satisfactory |
| 140 | Advisory service/write-off | 2461 | Risk Advisory Note - SC Transitioning to NPSA-RBLAC |  |  | N/A |
| 141 | 2460 | BMS/ITM COVID-19 response |  |  | N/A |
| 142 | 2396 | Review of Write-off request from UNDP Brazil |  |  | N/A |